

Exhibit 300: Capital Asset Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview & Summary Information

Date Investment First Submitted: 2010-09-16
Date of Last Change to Activities: 2012-04-27
Investment Auto Submission Date: 2012-02-27
Date of Last Investment Detail Update: 2012-03-29
Date of Last Exhibit 300A Update: 2012-08-31
Date of Last Revision: 2012-08-16

Agency: 015 - Department of the Treasury **Bureau:** 35 - Bureau of the Public Debt

Investment Part Code: 01

Investment Category: 00 - Agency Investments

1. Name of this Investment: Retail Securities Services (RSS)

2. Unique Investment Identifier (Ull): 015-000000062

Section B: Investment Detail

- 1. Provide a brief summary of the investment, including a brief description of the related benefit to the mission delivery and management support areas, and the primary beneficiary(ies) of the investment. Include an explanation of any dependencies between this investment and other investments.**

Public Debt's mission is to borrow the money needed to operate the federal government, account for the resulting debt and provide reimbursable support services to federal agencies. Within this core mission, Public Debt manages the Retail Securities Services Program, which exists to enable private citizens and organizations to directly own and maintain U.S. Treasury securities. The types of securities included are non-marketable securities in the form of savings bonds and marketable securities in the form of bills, notes, bonds, and Treasury Inflation-Indexed Securities (TIPS). The Retail Securities Services Program also oversees various programs for older, outstanding paper marketable securities. The Retail Securities Services (RSS) investment supports this mission through various IT systems, such as TreasuryDirect, SaBRe, and Treasury Retail E-Services (TRES), to deliver effective retail customer service and products. Several systems within the RSS investment have data flow dependencies with other investments. The first major dependency is reporting daily financial transactions to PARS to be included in the Daily Treasury Statement which is part of the SDAS investment. The current modernization initiative of the SDAS investment will impact the RSS investment, as RSS systems will have to be altered to continue reporting daily financial transactions to the new system. The second major dependency is providing auction information to TAAPS which is part of the WSS investment.

2. How does this investment close in part or in whole any identified performance gap in support of the mission delivery and management support areas? Include an assessment of the program impact if this investment isn't fully funded.

TreasuryDirect enables investors to set up accounts online, purchase savings bonds and marketable securities in electronic form, and self-manage their holdings. TreasuryDirect is an integral component of Public Debt's 2009-2014 strategies for Retail by enabling Public Debt to achieve its strategic goals of positioning Treasury to eliminate new issues of paper savings bonds and replacing the Legacy Treasury Direct system. Recognizing that program changes brought about by TreasuryDirect would have a major impact, the Federal Reserve Bank (FRB) operations processing, previously three sites, is to be consolidated to two sites in FY 2012 - FRB Minneapolis and Public Debt's Office of Retail Securities. A new IT environment will be implemented for TRES customer service that will provide high quality, consistent, and efficient service across all sites, reduce duplication of effort, cut costs, provide a seamless experience for customers, and create opportunities for self-sufficiency. TRES will provide the sites a fully integrated view of customer interactions and will allow customer services representatives to quickly identify customers and evaluate their needs. TRES is an integral component of Public Debt's 2009-2014 strategy for the Retail Securities Services to improve the quality and efficiency of service to retail customers. SaBRe maintains the records for U.S. savings bonds. SaBRe processes cash and security transactions, updates and maintains daily customer service records, and reports financial transactions for the Daily Treasury Statement as part of Definitive Securities Services (DSS). SaBRe supports Treasury's strategic goal of effectively financing government operations and brings Public Debt's cash and security accounting and reporting into compliance with accepted accounting principles. If this investment is not funded, Public Debt would fail to meet an obligation to savings bond and marketable security investors, as it would be impossible to make timely payments, issue future securities, redeem securities, and service investors without the RSS systems. The confidence in the reliability of Treasury securities established in the past would quickly diminish. In short, Public Debt would not fulfill its mission to borrow the money needed to operate the federal government and account for the resulting public debt and the Treasury Strategic goal to manage the U.S. government's finances effectively would be compromised.

3. Provide a list of this investment's accomplishments in the prior year (PY), including projects or useful components/project segments completed, new functionality added, or operational efficiency achieved.

- Nov;10 deployed the TD release to provide a viable electronic alternative to paper payroll savings. - Dec;10 modified TD to prevent users from submitting SellDirect transaction, which was a service offered to Retail customers for selling their marketable Treasury securities on the secondary market before maturity. - Dec'10 updated DSS systems to the IRS's 2010 formatting requirements - Jan'11 completed project to convert manual file transfers processes to Connect:Direct processes. - Feb'11 deployed the Endeavor release to upgrade the 2 factor authentication software for TD. - Apr'11 successfully completed functional validation testing of TRES-Phase 1. - June'11 deployed the TD release that simplifies the account-create process and enhances the user experience. - FY11-YTD decommissioned 10 obsolete Retail systems. - FY11-YTD all Retail systems met their performance goals.

4. Provide a list of planned accomplishments for current year (CY) and budget year (BY).

- FY12-TRES-DME1 deploy the Customer Relationship Management (CRM) functionality for TRES - FY12-TRES-DME2 define, design, develop and deploy the centralized print-site functionality for TRES - FY13-TRES -DME1 define the Virtual Case File (VCF) functionality for TRES - FY13-TRES -DME2 develop the VCF functionality for TRES - FY12-TD-DME1 deploy the release that implements a new 2-Factor authentication mechanism - FY12-TD-DME2 define and design the release that implements the new Floating Rate Note functionality - FY13-TD-DME1 deploy the release that implements the new Floating Rate Note functionality - FY13-TD-DME2 deploy the release that implements a re-designed History function and enables purging of archival account/transactional data - FY13-CC-DME1 define and design the enhancements to modify Retail systems to process payments as a Treasury Disbursement Office (TDO) - FY13-CC-DME2 develop the enhancements to modify Retail systems to process payments as a TDO - FY12-DSS-DME1 define and develop the enhancements to modify Retail systems to supports a Check-21 solution that will digitalized the redemption processing of paper savings bond. - FY12-DSS-DME2 deploy the enhancements to modify Retail systems to supports the Check-21 solution. - FY12-LTD-O&M1 Define functionality and systems to be decommissioned in the Legacy Treasury Direct program. - FY12-LTD-O&M2 Begin to remove functionality from Retail systems that are part of the Legacy Treasury Direct program to support the eventual decommissioning of the Legacy Treasury Direct System.

5. **Provide the date of the Charter establishing the required Integrated Program Team (IPT) for this investment. An IPT must always include, but is not limited to: a qualified fully-dedicated IT program manager, a contract specialist, an information technology specialist, a security specialist and a business process owner before OMB will approve this program investment budget. IT Program Manager, Business Process Owner and Contract Specialist must be Government Employees.**

2010-10-01

Section C: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.C.1 Summary of Funding

	PY-1 & Prior	PY 2011	CY 2012	BY 2013
Planning Costs:	\$0.0			\$0.8
DME (Excluding Planning) Costs:	\$0.0	\$10.0	\$5.8	\$1.6
DME (Including Planning) Govt. FTEs:	\$0.0	\$5.6	\$4.4	\$5.8
Sub-Total DME (Including Govt. FTE):	0	\$15.6	\$10.2	\$8.2
O & M Costs:	\$0.0	\$22.3	\$18.3	\$21.3
O & M Govt. FTEs:	\$0.0	\$17.8	\$16.3	\$16.4
Sub-Total O & M Costs (Including Govt. FTE):	0	\$40.1	\$34.6	\$37.7
Total Cost (Including Govt. FTE):	0	\$55.7	\$44.8	\$45.9
Total Govt. FTE costs:	0	\$23.4	\$20.7	\$22.2
# of FTE rep by costs:	0	150	154	155
Total change from prior year final President's Budget (\$)		\$0.0	\$-13.2	
Total change from prior year final President's Budget (%)		0.00%	-22.37%	

2. If the funding levels have changed from the FY 2012 President's Budget request for PY or CY, briefly explain those changes:

Changes to the investment since previous FY12 submission: a) Decision made to build TRES on the Treasury Web Applications Infrastructure (TWAI) that triggered a difference in work; b) over-head charges from FRB classified as not controlled by the PM and removed from FY12 and out-years; c) consolidation of Retail services and business process change enables Public Debt to stop enhancements and decommission several legacy applications.

Section D: Acquisition/Contract Strategy (All Capital Assets)

Table I.D.1 Contracts and Acquisition Strategy

Contract Type	EVM Required	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	IDV Agency ID	Solicitation ID	Ultimate Contract Value (\$M)	Type	PBSA ?	Effective Date	Actual or Expected End Date
Awarded	2036	TPDOIT09K00038	GS35F0555K	4730							

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

This is a service based contract providing an ancillary support service.

Exhibit 300B: Performance Measurement Report

Section A: General Information

Date of Last Change to Activities: 2012-04-27

Section B: Project Execution Data

Table II.B.1 Projects

Project ID	Project Name	Project Description	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)
RSSTRES01	FY12-TRES functionality releases	Deploy the Customer Relationship Mgmt. (CRM) functionality for TRES. Define, design, develop and deploy the centralized print-site functionality for TRES.			
RSSTD01	FY12-TD-Enhancements	Deploy the release that implements a new 2-Factor authentication mechanism. Define and design the release that will add a New Floating Rate Note (FRN).			
RSSDSS01	FY12-DSS-Check 21	Define, develop, and deploy the enhancements to modify Retail systems to support a Check-21 solution that will digitalize the redemption processing of paper savings bond.			
RSSLTD01	FY12-LTD-Decommissioning	Define functionality and systems to be decommissioned in the Legacy Treasury Direct program.			

Activity Summary

Activity Summary

Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
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Roll-up of Information Provided in Lowest Level Child Activities

Project ID	Name	Total Cost of Project Activities (\$M)	End Point Schedule Variance (in days)	End Point Schedule Variance (%)	Cost Variance (\$M)	Cost Variance (%)	Total Planned Cost (\$M)	Count of Activities
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RSSTRES01 FY12-TRES
functionality releases

RSSTD01 FY12-TD-Enhancements

RSSDSS01 FY12-DSS-Check 21

RSSLTD01 FY12-LTD-Decommissioning

Key Deliverables

Project Name	Activity Name	Description	Planned Completion Date	Projected Completion Date	Actual Completion Date	Duration (in days)	Schedule Variance (in days)	Schedule Variance (%)
RSSDSS01	DSS-Requirements, Design, and Develop	Define and develop the enhancements to modify Retail systems to support a Check-21 solution that will digitalize the redemption processing of paper savings bond.	2012-03-31	2012-03-31	2012-03-31	182	0	0.00%
RSSTD01	TD-Requirement, Design & Develop	Define and design the release that will add a New Floating Rate Note (FRN).	2012-09-30	2012-09-30		182	0	0.00%

Section C: Operational Data

Table II.C.1 Performance Metrics

Metric Description	Unit of Measure	FEA Performance Measurement Category Mapping	Measurement Condition	Baseline	Target for PY	Actual for PY	Target for CY	Reporting Frequency
Percentage of customer satisfaction survey results rated Good or Better	Percentage	Mission and Business Results - Services for Citizens	Over target	92.000000	92.400000	82.810000	85.500000	Monthly
Percentage of maturing marketable par that customers reinvest into new purchases	Percentage	Customer Results - Service Quality	Over target	62.410000	55.000000	63.700000	60.000000	Monthly
Percentage of time with 24/7 mainframe system access.	Percentage	Technology - Reliability and Availability	Over target	99.900000	100.000000	99.990000	99.500000	Monthly
Percentage of time financial and accounting data is sent to the Public Debt Accounting and Reporting System (PARS) by 7:00 am each business day.	Percentage	Technology - Efficiency	Over target	100.000000	100.000000	99.730000	99.500000	Monthly
Percentage of accounts successfully verified online.	Percentage	Process and Activities - Productivity	Over target	84.000000	81.000000	86.420000	82.000000	Monthly